

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01381US0	Application/Patent Number 10/786,627
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Christopher E. Bales et al.	
		Filing/Issue Date February 25, 2004	Group Art Unit 2179

U.S. PATENTS						
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	6202207	2001-03	Donohue			
	6961897	2005-11	Peel et al.			
	6684369	2004-01	Bernardo et al.			
	5889953	1999-03	Thebaut et al.			
	6301613	2001-10	Ahlstrom et al.			
	6587876	2003-07	Mahon et al.			
	6978379	2005-12	Goh et al.			
	6167445	2000-12	Gai et al.			
	6487594	2002-11	Bahlmann			
	6865549	2005-03	Connor			
	7003578	2006-02	Kanada et al.			
	7174563	2007-02	Brownlie et al.			
	6285366	2001-09	Ng et al.			
	6769095	2004-07	Brassard et al.			
	7089584	2006-08	Sharma			
	6339423	2002-01	Sampson et al.			
	6327594	2001-12	Van Huben et al.			
	6857012	2005-02	Sim et al.			
	6360363	2002-03	Moser et al.			
	7047522	2006-05	Dixon et al.			
	5987469	1999-11	Lewis et al.			
	6055515	2000-04	Consentino et al.			
	6430556	2002-08	Goldberg et al.			
	6466239	2002-10	Ishikawa			

U.S. Patent Application No. 10/786,627

Attorney Docket No.: BEAS-01381us0

M:\tliu\wp\BEAS\1351-1399\1381US0\IDS\_9\_2007.wpd

Examiner Signature: \_\_\_\_\_

- 4 -

Date Considered: \_\_\_\_\_

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01381US0	Application/Patent Number 10/786,627
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Christopher E. Bales et al.	
		Filing/Issue Date February 25, 2004	Group Art Unit 2179

U.S. PATENTS						
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	6581071	2003-06	Gustman et al.			
	6834284	2004-12	Acker et al.			
	6397222	2002-05	Zellweger			
	7035944	2006-04	Fletcher et al.			
	557747	1996-09	Rogers et al.			
	5825883	1998-10	Archibald et al.			
	6167407	2000-12	Nachenberg et al.			
	6269456	2001-07	Hodges et al.			
	7080000	2006-07	Cambridge			
	6377973	2002-04	Gidcon			
	6889222	2005-05	Zhao			
	6901403	2005-05	Bata et al.			
	6122647	2000-09	Horowitz et al.			
	6054910	2000-04	Tada et al.			
	6757698	2004-06	McBride et al.			
	6633538	2003-10	Tanaka et al.			
	7054910	2006-05	Nordin et al.			
	7035879	2006-04	Shi et al.			
	6856999	2005-02	Flanagan et al.			
	5757669	1998-05	Christie et al.			
	6108687	2000-08	Craig			
	6732144	2004-05	Kizu et al.			
	6985915	2006-01	Somalwar et al.			

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01381US0	Application/Patent Number 10/786,627
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Christopher E. Bales et al.	
		Filing/Issue Date February 25, 2004	Group Art Unit 2179

U.S. PATENTS						
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	5954798	1999-09	Shelton et al.			
	6697805	2004-02	Choquier et al.			
	6477543	2002-11	Huang et al.			
	6292900	2001-09	Ngo et al.			
	6412070	2002-06	Van Dyke et al.			
	6510513	2003-01	Danieli			
	6397231	2002-05	Salisbury et al.			
	7093200	2006-08	Schreiber et al.			
	7085755	2006-08	Bluhm et al.			
	6385627	2002-05	Cragun			
	6158010	2000-12	Moriconi et al.			
	6339826	2002-01	Hayes et al.			
	6055637	2000-04	Hudson et al.			
	7185192	2007-02	Kahn			
	6202066	2001-03	Barkley et al.			
	6581054	2003-06	Boggett			
	6574736	2003-06	Andrews			
U.S. PATENT PUBLICATIONS						
Examiner Initial	Patent Application Publication Number	Publication Date	Applicant			
	20020104071	2002-08	Charisius et al.			
	20030167455	2003-09	Iborra et al.			
	20010034771	2001-10	Hutsch et al.			

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01381US0	Application/Patent Number 10/786,627
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Christopher E. Bales et al.	
		Pfiling/Issue Date February 25, 2004	Group Art Unit 2179

U.S. PATENT PUBLICATIONS				
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
		20030204481	2003-10	Lau
		20030216938	2003-11	Shour
		20050198617	2005-09	Kim et al.
		20020087571	2002-07	Stapel et al.
		20020120685	2002-08	Srivastava et al.
		20020143819	2002-10	Han et al.
		20030120686	2003-06	Kim et al.
		20030088617	2003-05	Clark et al.
		20060059107	2006-03	Elmore et al.
		20020029296	2002-03	Anuff et al.
		20020152279	2002-10	Sollenberger et al.
		20020135617	2002-09	Samid
		20050097008	2005-05	Ehring et al.
		20020161903	2002-10	Besaw
		20020103818	2002-08	Amberden
		20030014442	2003-01	Shiigi et al.
		20030110448	2003-06	Haut et al.
		20020169893	2002-11	Chen et al.
		20030146937	2003-08	Lee
		20040167880	2004-08	Smith
		20050021502	2005-01	Chen et al.
		20030220963	2003-11	Golovinsky et al.
		20030126464	2003-07	McDaniel et al.

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01381US0	Application/Patent Number 10/786,627
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Christopher E. Bales et al.	
		Filing/Issue Date February 25, 2004	Group Art Unit 2179

U.S. PATENT PUBLICATIONS					
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant	
		20040243824	2004-12	Jones	
		20020019827	2002-02	Shiman et al.	
		20020112171	2002-08	Ginter et al.	
		20040167899	2004-08	Patadia et al.	
		20070083484	2007-04	McVeigh et al.	
		20010032128	2001-10	Kepecs	
		20060122882	2006-06	Brown et al.	
		20020147645	2002-10	Alao et al.	
		20030032409	2003-02	Hutcheson et al.	
		20020152267	2002-10	Lennon	
		20030135490	2003-07	Barrett et al.	
		20030220913	2003-11	Doganata et al.	
		20050086206	2005-04	Balasubramanian et al.	
		20010047485	2001-11	Brown et al.	
		20030046576	2003-03	High et al.	
		20020188869	2002-12	Patrick	
PENDING U.S. PATENT APPLICATIONS					
Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01381US0	Application/Patent Number 10/786,627
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Christopher E. Bales et al.	
		Pfiling/Issue Date February 25, 2004	Group Art Unit 2179

### FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Country Code	Publication Date	Class	Subclass	Trans- lation	
							Yes	No

### NON-PATENT LITERATURE DOCUMENTS

(Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages, volume-issue number(s), publisher, city and/ or country where published.

		CANDAN, et al., "Enabling Dynamic Content Caching for Database-Driven Web Sites", Proceedings of the 2001 ACM SIGMOD International Conference on Management of Data, Santa Barbara, California, USA, May 21-24, 2001, pp. 532-543.
		TANYI, E. "Easy XML", March 6 2000, pp: 1-6, www.winsite.com.
		IBM, "Method and System for Visually Constructing Document Type Definitions and Related Artifacts Using a Reusable Object Model", Technical Disclosure Bulletin, May 23 2001, 3 pages, IP.com.
		PARKER, E. "The Complete Idiots Guide to Microsoft FrontPage 2000", 1999, pp: 7 and 55, QUE.
		ROSSI, et al., "Designing Personalized Web Applications", May 1-5 2001, pp: 275-284, WWW 10, Hong Kong, ACM.
		LEE et al., "Keeping Virtual Information Resources Up and Running", November 1997, IBM Press, pages 1-14.
		HAYTON, R.J. et al., "Access Control in an Open Distributed Environment," 1998 Proceedings of the IEEE Symposium on Security & Privacy, May 3-6, 1998, Oakland, California, USA, 12 pages.
		BERTINO, ELISA et al., "TRBAC: A Temporal Role-Based Access Control Model," ACM Transactions on Information and System Security (TISSEC), Volume 4, Issue 3 (August 2001), pp. 191-223.
		SANDHU, RAVI S. et al., "Role-Based Access Control Models," IEEE Computer, Volume 29, Number 2, February 1996, pp. 38-47.
		COVINGTON, MICHAEL J. et al., "Securing Context-Aware Applications Using Environment Roles," ACM Workshop on Role Based Access Control, Proceedings of the Sixth ACM Symposium on Access Control Models and Technologies, SACMAT '01, May 3-4, 2001, Chantilly, Virginia, USA, pp. 10-20.
		YAO, WALT et al., "A Model of OASIS Role-Based Access Control and Its Support for Active Security," ACM Workshop on Role Based Access Control, Proceedings of the Sixth ACM Symposium on Access Control Models and Technologies, SACMAT '01, May 3-4, 2001, Chantilly, Virginia, USA, pp. 171-181.
		GEORGIADIS, CHRISTOS K. et al., "Flexible Team-Based Access Control Using Contexts," ACM Workshop on Role Based Access Control, Proceedings of the Sixth ACM Symposium on Access Control Models and Technologies, SACMAT '01, May 3-4, 2001, Chantilly, Virginia, USA, pp. 21-27.

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01381US0	Application/Patent Number 10/786,627
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Christopher E. Bales et al	
		Filing/Issue Date February 25, 2004	Group Art Unit 2179

NON-PATENT LITERATURE DOCUMENTS			
(Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages, volume-issue number(s), publisher, city and/ or country where published.			
		TZELEPI, SOFIA K. et al., "A Flexible Content and Context-Based Access Control Model for Multimedia Medical Image Database Systems," International Multimedia Conference, Proceedings of the 2001 ACM Workshop on Multimedia and Security: New Challenges, October 5, 2001, pp. 52-55.	
		GOH, CHEN et al., "Towards a More Complete Model of Role," Symposium on Access Control Models and Technologies, Proceedings of the Third ACM Workshop on Role-Based Access Control, 1998, Fairfax, Virginia, USA, pp. 55-61.	
Examiner		Date Considered	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
<hr/> *1 = Copy not submitted because it was submitted in prior application SN __/____, filed _____, 20__, relied on under 35 USC §120. **2 = Copy not submitted because it was submitted in prior application SN __/____, filed _____, 20__, relied on under 35 USC §120.			